

Home Depot Data Security Breach Settlement
INSTRUCTIONS FOR SETTLEMENT FUND CLAIM FORM

COMPLETE AND SIGN THIS FORM AND FILE ONLINE NO LATER THAN **SEPTEMBER 14, 2017** at
www.HomeDepotBankSettlement.com

or

FILE BY MAIL POSTMARKED BY **SEPTEMBER 14, 2017** at
Home Depot Data Breach Settlement, c/o Settlement Administrator,
1801 Market Street, Suite 660, Philadelphia, PA 19103

If you have questions about how to file your claim, you can email the Settlement Administrator at:
HomeDepotBankSettlement@AdministratorClassAction.com

- Use this form if your financial institution is a Settlement Class Member who is entitled to claim into the \$25,000,000 Settlement Fund.
- This form has two parts. You should fill out Part I if your financial institution wants to receive a fixed payment estimated to be \$2.00 per card in addition to any recovery amount offered through your payment card network (e.g., Visa's GCAR or MasterCard's ADC program). No documentation is needed to fill out Part I. In order to receive a fixed payment award, you do not need to fill out Part II.
- You should fill out Part II if your financial institution wants to be eligible to receive an additional award of up to 60 percent of your unreimbursed losses between September 1, 2014 and December 31, 2014 on payment card accounts that were alerted-on as a result of the Home Depot Data Breach. To receive an additional award under Part II you will need to provide documentation of your losses and furnish further information. The amount of the additional award your financial institution will receive under Part II will depend on the value of all valid submitted claims.

Note: If your financial institution is an independent Sponsored Entity that received payment in connection with an Alternative Recovery Offer under the MasterCard ADC program, that felt it did not have sufficient time or information to appropriately consider the Alternative Recovery Offer when originally presented with it, and whose claims against Home Depot were released on its behalf by its sponsor, your financial institution may be eligible for a payment from the Sponsored Entity Settlement Amount of \$2,250,000 so long as your financial institution does not share a corporate affiliation with its sponsor. To claim this payment, you must complete and submit a Sponsored Entity Claim Form.

Materials to Gather to Complete this Form for a Fixed Payment Award (Part I):

- 1) The number of payment card accounts your financial institution issued that were included in alerts relating to the Data Breach.
- 2) The number of alerted-on payment card accounts with regard to which you or anyone acting on your behalf already signed a release through a prior settlement (for example by accepting Visa's ARO offer).

Materials to Gather to Complete this Form for a Documented Damages Award (Part II):

You need to provide the information identified in 1 and 2 above. Additionally you need to provide:

- 1) The amount of fraud charges your financial institution incurred on unreleased, alerted-on cards that were actually compromised in the Home Depot data breach and the account numbers for any such compromised cards. Note that some alerted-on cards were not compromised by the data breach because it was later determined that the malware was implemented on Home Depot's systems at different times. Only fraud losses committed using compromised cards were caused by the data breach. Accordingly, you will only be able to recover fraud charges for those cards used to make purchases at Home Depot during the period from April 10, 2014 to September 13, 2014 on a self-checkout kiosk where the malware that gave rise to this Data Breach was present. If you have any questions regarding whether an alerted-on card was compromised, please contact the Settlement Administrator, who has a list of the compromised cards and can answer your questions.
- 2) The amount of fraud charges for which you already received reimbursement due to chargebacks from card-not-present fraud
- 3) The amount of reissuance costs your financial institution incurred on unreleased alerted-on cards.
- 4) The amount of any other costs you contend your financial institution incurred in responding to the Home Depot Data Breach related to your alerted-on accounts.
- 5) The amount that your financial institution has received or is entitled to receive through a payment card brand reimbursement program relating to the Data Breach (e.g., Visa's GCAR or MasterCard's ADC program).

Must be filed online or postmarked by
September 14, 2017

Home Depot Data Security Breach Settlement
SETTLEMENT FUND CLAIM FORM

PART I

SETTLEMENT CLASS MEMBER INFORMATION

If you received Notice of the Settlement via mail, please write the Reference Number that was provided to you in the box below. The Reference Number is above your financial institution's name and address. If you did not receive a notice in the mail, please leave this Reference Number blank.

Reference Number

H	M	R							
---	---	---	--	--	--	--	--	--	--

Name of Financial Institution / Settlement Class Member

Name of Person Filling Out This Form

Your Title in the Financial Institution

Mailing Address

City

State

Zip Code

Daytime Phone

 — —

E-Mail Address (if provided, we will communicate primarily by email about your claim)

CERTIFICATION OF PAYMENT CARDS: Please complete all questions below:

1. Is your financial institution the issuer of one or more payment cards that were identified in any of the categories of alerts below? (Check All Applicable Boxes Below.)

If you check "YES" for any category of alert(s), indicate how many payment card accounts your financial institution issued that were identified in the referenced alert(s). For purposes of completing this form, please note that a payment card number can have only one corresponding payment card account, even if your financial institution issued multiple payment cards bearing the card number.

(a) Visa alert(s) in the US-2014-1072-PA series	<input type="checkbox"/> YES <input type="checkbox"/> NO
Number of Issued Accounts Identified in These Alerts	<input type="text"/>
(b) MasterCard alert(s) in the ADC3868-US-14 series, the ADC3869-US-14 series, or the ADC3871-US-14 series	<input type="checkbox"/> YES <input type="checkbox"/> NO
Number of Issued Accounts Identified in These Alerts:	<input type="text"/>
(c) Discover cards alert(s) relating to the Home Depot data breach, including alert(s) in the DCA-US-2014-0635 series	<input type="checkbox"/> YES <input type="checkbox"/> NO
Number of Issued Accounts Identified in These Alerts:	<input type="text"/>
(d) American Express alert(s) relating to the Home Depot data breach	<input type="checkbox"/> YES <input type="checkbox"/> NO
Number of Issued Accounts Identified in These Alerts:	<input type="text"/>

If you are unable to answer YES to any part of Question 1 then your financial institution is not a Class Member and is not eligible to participate in this Settlement. Please stop and do not submit a form.

2. Has your financial institution, or has any person or entity acting on its behalf, signed a release of its legal claims against Home Depot relating to the Data Breach, for example by accepting a premium over the amount that was paid under a card brand compensation program such as Visa's GCAR program, or by entering into a settlement agreement directly with Home Depot, with respect to any of the payment cards identified in Question 1 above? (Check All Applicable Boxes Below.)

If you check "YES" in any category, indicate for how many of the alerted-on accounts identified in response to Question 1 are subject to a release in favor of Home Depot. If your financial institution released its claims as to all of the payment cards identified in the alerts below, write "ALL."

(a) Visa alert(s) in the US-2014-1072-PA series	<input type="checkbox"/> YES <input type="checkbox"/> NO
Number of Alerted-On Accounts Subject to a Release:	<input type="text"/>

Must be filed online or postmarked by
September 14, 2017

Home Depot Data Security Breach Settlement
SETTLEMENT FUND CLAIM FORM

(b) MasterCard alert(s) in the ADC3868-US-14 series, the ADC3869-US-14 series, or the ADC3871-US-14 series	<input type="checkbox"/> YES <input type="checkbox"/> NO
Number of Alerted-On Accounts Subject to a Release:	<input type="text"/>
(c) Discover alert(s) relating to the Home Depot data breach, including alert(s) in the DCA-US-2014-0635 series	<input type="checkbox"/> YES <input type="checkbox"/> NO
Number of Alerted-On Accounts Subject to a Release:	<input type="text"/>
(d) American Express alert(s) relating to the Home Depot data breach	<input type="checkbox"/> YES <input type="checkbox"/> NO
Number of Alerted-On Accounts Subject to a Release:	<input type="text"/>

3. Please indicate the total of all payment card accounts you listed in response to Question 1.
(Total of Alerted-On Accounts Listed in Response to Question 1)
4. Please indicate the total of all payment card accounts subject to a release as identified in response to Question 2.
(Total of Released Accounts Listed in Response to Question 2)
5. Please subtract your answer to Question 4 from your answer to Question 3.
(Total Alerted-On Accounts Minus Released Accounts)

If your answer to Question 5 is zero or blank, then your financial institution is not eligible to receive compensation from the Settlement Fund. However, if your financial institution is an independent Sponsored Entity that does not share a corporate affiliation with its sponsor, that certifies it did not have sufficient time or information to appropriately consider the Alternative Recovery Offer when originally presented with it, and whose claims against Home Depot were released by its sponsor after acceptance of an Alternative Recovery Offer under the MasterCard ADC program, your financial institution may be entitled to compensation if you complete and submit a Sponsored Entity Claim Form.

IF YOU ARE NOT PLANNING TO FILL OUT PART II OF THIS CLAIM FORM, PLEASE REMEMBER TO SIGN THE FORM ON THE TOP OF PAGE 5. IF YOU DO NOT SIGN THE FORM, YOUR CLAIM MAY BE REJECTED AS INVALID.

PART II

Please fill out Part II if you wish to provide documentation and become eligible for an additional award of up to 60 percent of your documented losses resulting from the Data Breach (less the estimated payment you will receive as a result of filling out Part I):

6. For the payment card accounts included in your answer to question 5 (*i.e.*, payment card accounts that are not subject to a release in favor of Home Depot), did your financial institution incur unreimbursed costs between September 1, 2014 and December 31, 2014 associated with any of the following?
(Check All Applicable Boxes Below)

Reimbursement of unauthorized (fraudulent) charges YES NO

Reissuance of payment cards YES NO

Other costs you contend your financial institution incurred in responding to the Home Depot data breach YES NO

7. Do you have proof to support a claim for the costs identified in response to question 6?

YES Complete page 6
 NO

8. For any payment card accounts included in your answer to question 5 (*i.e.*, payment card accounts that are not subject to a release), has your financial institution received or is it entitled to receive, directly or indirectly, any compensation for your losses from any of the following? If YES, please indicate the total amount your financial institution has received and/or is entitled to receive on page 7. (Check All Applicable Boxes Below and Fill In Applicable Amounts on page 7.)

Visa's Global Account Recovery Program YES Complete Page 7 NO

MasterCard's Account Data Compromise Program YES Complete Page 7 NO

Discover, under any reimbursement program YES Complete Page 7 NO

American Express, under any reimbursement program YES Complete Page 7 NO

If you are unable to check YES on any of the boxes under Question 6, you are unable to answer YES in response to Question 7, or the amount of compensation from the sources you identify in response to Question 8 is greater than 60 percent of the losses you allege your financial institution suffered from the Data Breach, your financial institution is not eligible to receive a payment in addition to the fixed payment available to your financial institution under Part I. As a result, you should not continue to complete Part II.

Must be filed online or postmarked by
September 14, 2017

Home Depot Data Security Breach Settlement
SETTLEMENT FUND CLAIM FORM

Note: if your financial institution received compensation as an independent Sponsored Entity under the MasterCard ADC program, but certifies that it did not have sufficient time or information to appropriately consider the Alternative Recovery Offer when originally presented with it, and if its claims against Home Depot were released on its behalf by a sponsoring entity with which it does not share a corporate affiliation, your financial institution may still be entitled to receive a supplemental payment under the settlement. To be eligible for the supplemental payment, you must complete and submit a Sponsored Entity Claim Form.

SIGN CLAIM FORM

By submitting this Settlement Fund Claim Form, the above-named Settlement Class Member certifies that it is eligible to make a claim in this settlement and that the information provided in this claim form is true and correct. The above-named Settlement Class Member understands that this claim may be subject to audit, verification, and Court review.

Signature of Duly Authorized Representative of Settlement Class Member

Date

Print Name

Title

NOTE: IF YOU ARE SUBMITTING A DOCUMENTED DAMAGES CLAIM UNDER PART II OF THIS FORM TO RECOVER AN ADDITIONAL AMOUNT OF UP TO 60 PERCENT OF YOUR DOCUMENTED LOSSES, YOU MUST COMPLETE THE FOLLOWING PAGES OF THIS FORM WHICH PERTAIN TO THE PROOF AND DOCUMENTATION YOU HAVE IN SUPPORT OF YOUR LOSSES.

CLAIM SUBMISSION REMINDERS

- You may submit your Claim Form through the website at www.HomeDepotBankSettlement.com. If you submit your claim through the website, you will need to submit the documentation supporting your Documented Damages Claim via PDF upload or by mail with a copy of your online claim confirmation.
- Please keep a copy of this claim form and any documentation if submitting by mail.
- Claims must be completed and submitted through the website by **September 14, 2017**, or mailed so they are postmarked, by **September 14, 2017**.

- If filing a paper form, return the form to:

Mail or Courier:
Home Depot Data Breach Settlement
c/o Settlement Administrator
1801 Market Street, Suite 660
Philadelphia, PA 19103

Must be filed online or postmarked by
September 14, 2017

Home Depot Data Security Breach Settlement
SETTLEMENT FUND CLAIM FORM

SUPPORTING PROOF AND DOCUMENTATION (REQUIRED FOR CLAIM TO BE VALID)

Please fully complete the below tables, providing the dates, amounts, and descriptions of the supporting documentation for each cost and payment that you list. Please attach all supporting documentation.

The above-named Class Member had expenses with respect to its Claimed-On Accounts (i.e., the accounts included for purposes of responding to question 5) that were incurred between September 1, 2014 and December 31, 2014, and that were not reimbursed except through one or more of the payment card brand issuer reimbursement programs referenced below:

Cost Type	Date(s) of Cost	Amount
Reimbursement of Fraudulent Charges	____/____/____	\$ _____
Description of Supporting Documentation: <i>(Identify what you are attaching and why)</i> <i>(Example: internal documents showing fraud charges during the relevant time period, the account number suffering the fraud, the type of fraud, the amount of fraud, and alerts showing the affected account was alerted by a card brand as part of a network alert (such as CAMS alert or MasterCard alert); do not include amounts or documentation for fraud items for which your financial institution has been reimbursed, such as Card Not Present fraud)</i>		
Note: your financial institution may only recover fraudulent charges for "compromised cards," that is cards used to make purchases at Home Depot during the period from April 10, 2014 to September 13, 2014 on a self-checkout kiosk where the malware that gave rise to this Data Breach was present. In order to recover fraudulent charges, you must identify the account number and the specific fraud losses associated with each account number. Questions about whether a particular card was "compromised" should be asked of the Settlement Administrator, who has a list of all compromised cards.		
Reissuance of Payment Cards	____/____/____	\$ _____
Description of Supporting Documentation: <i>(Identify what you are attaching and why)</i> <i>(Example: invoices from processors or elsewhere or any other documentation establishing costs your financial institution paid in connection with reissuing cards, including the cost of canceling Claimed-on Accounts)</i>		
Other Costs You Contend Your Financial Institution Incurred in Responding to the Home Depot Data Breach	____/____/____	\$ _____
Description of Supporting Documentation: <i>(Identify what you are attaching and why)</i> <i>(Example: Invoices, payment records, overtime payroll records and the like sufficient to show payment for other costs specifically associated with the Home Depot Data Breach)</i>		

Must be filed online or postmarked by
September 14, 2017

Home Depot Data Security Breach Settlement
SETTLEMENT FUND CLAIM FORM

The above-named Class Member received or is entitled to receive, directly or indirectly, payments from one or more payment card brand issuer reimbursement programs relative to the Home Depot data breach with respect to its Claimed-On Accounts, which payments are listed below:

Program / Payment Card Brand	Date(s) of Payment	Amount
Visa's Global Account Recovery Program	____/____/____	\$ _____
Description of Supporting Documentation: (Identify what you are attaching and why) (Example: Correspondence from Visa, your processor, or someone else informing you that your financial institution is entitled to receive a reimbursement amount related to the Home Depot Data Breach)		

Program / Payment Card Brand	Date(s) of Payment	Amount
MasterCard's Account Data Compromise Program	____/____/____	\$ _____
Description of Supporting Documentation: (Identify what you are attaching and why) (Example: Correspondence from MasterCard, your processor, or anyone else informing you that your financial institution is entitled to receive a reimbursement amount related to the Home Depot Data Breach)		

Program / Payment Card Brand	Date(s) of Payment	Amount
Discover, under any program similar to the foregoing	____/____/____	\$ _____
Description of Supporting Documentation: (Identify what you are attaching and why) (Example: Correspondence from Discover, your processor, or anyone else informing you that your financial institution is entitled to receive a reimbursement amount related to the Home Depot Data Breach)		

Program / Payment Card Brand	Date(s) of Payment	Amount
American Express, under any program similar to the foregoing	____/____/____	\$ _____
Description of Supporting Documentation: (Identify what you are attaching and why) (Example: Correspondence from American Express, your processor, or anyone else informing you that your financial institution is entitled to receive a reimbursement amount related to the Home Depot Data Breach)		
